

VERSION 4.0



Otium Advisory Group, LLC  
simplicity is key

## NEW CLIENT DISCOVERY FORM

NAME:

PRESENTED BY: JOHN PAK CFP

OTIUM ADVISORY GROUP

LOS ANGELES, CA

## INSTRUCTIONS

- If you will be coming to our office, please bring this completed form with you
- If we will be video/phone conferencing, please email me a copy prior to our meeting
- Sign and date the last page

## PLANNING OBJECTIVE

What are your top THREE financial concerns from the list below (Circle applicable choices):

1. Retirement planning
2. Tax Planning
3. Estate Planning
4. Debt management
5. Cash Flow Management
6. Investment Planning / Management
7. Insurance Planning
8. Goals Based Planning with time segmentation

## COMMUNICATION PREFERENCES

Do you prefer to communicate by (Circle all applicable choices):

1. In Person
2. Phone
3. Email
4. Virtual Conference

What is the best time to schedule appointments?

1. Mornings
2. Afternoons
3. Evenings
4. Weekdays
5. Weekends

## EMPLOYMENT STATUS

1. Full Time
2. Part-Time
3. Corporate Employee
4. Self-employed
5. Unemployed
6. Student

SELF ANALYSIS

INSTRUCTIONS: Write down one or two words that describe your strengths, weaknesses, opportunities, and threats you currently see in your financial life

Strengths

Weaknesses

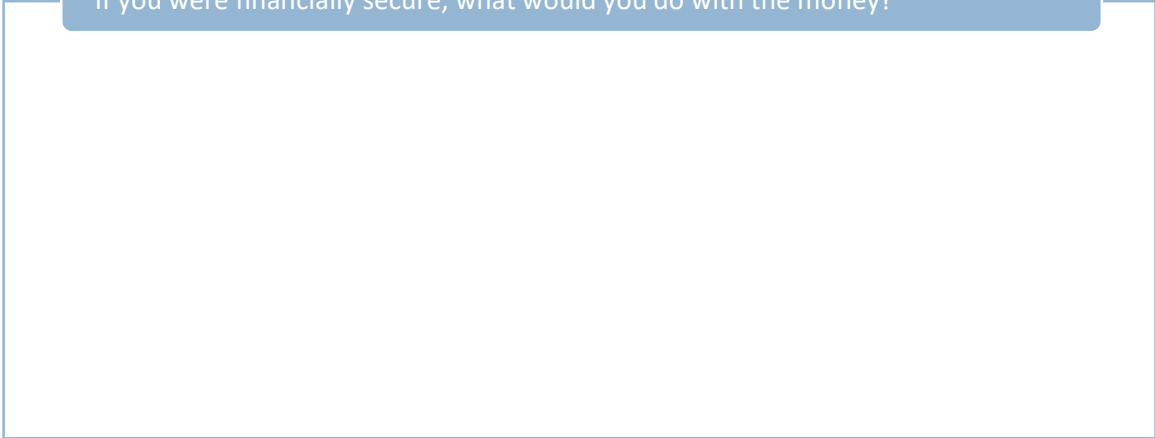
Opportunities

Threats

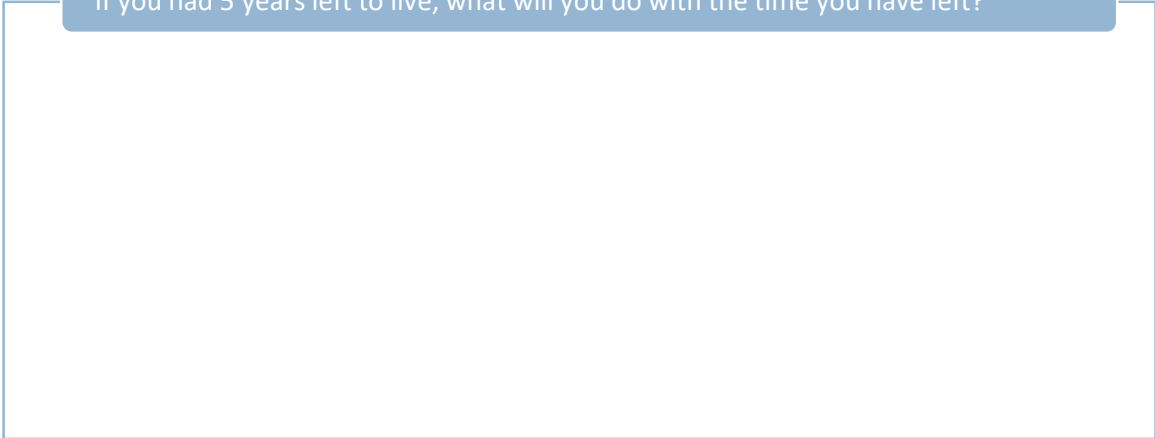
**GOALS AND VALUES**

**INSTRUCTIONS:** Take your time completing this qualitative section. Don't hold back...

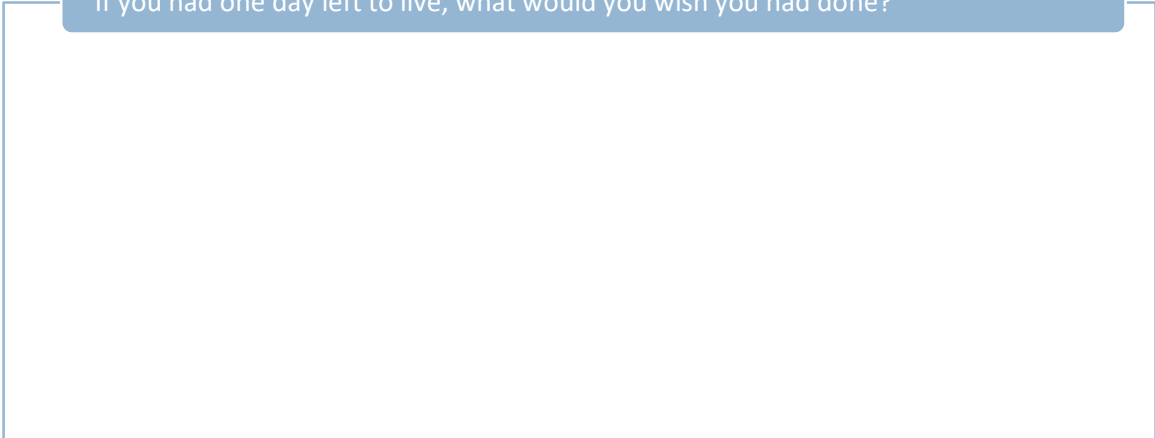
If you were financially secure, what would you do with the money?



If you had 5 years left to live, what will you do with the time you have left?



If you had one day left to live, what would you wish you had done?



**(P)RIORITIES, (O)RGANIZE, (P)URGE**

Please list 5 personal financial activities that you do on your own and rank them from (1) most important to (5) least important

List any activities (listed above) that you do not like to spend your time on.

How much time would you save by eliminating the activities listed above?

Agreement: The preceding information reflects an accurate snapshot of my current qualitative and quantitative position.

Client Signature / Date: \_\_\_\_\_

**OTIUM ADVISORY GROUP**  
Phone: (310) 737-8266  
Email: JPak@OtiumAG.com  
Visit us on the web at **www.OTIUMAG.com**