

VERSION 2.0



Otium Advisory Group, LLC
simplicity is key

NEW CLIENT DISCOVERY FORM

NAME:

PRESENTED BY: JOHN PAK CFP

OTIUM ADVISORY GROUP

LOS ANGELES, CA

INSTRUCTIONS

- If you will be coming to our office, please bring this completed form with you
- If we will be video/phone conferencing, please email me a copy prior to our meeting
- Sign and date the last page

PLANNING OBJECTIVE

What are your top THREE financial concerns from the list below (Circle applicable choices):

1. Retirement planning
2. Tax Planning
3. Estate Planning
4. Debt management
5. Cash Flow Management
6. Investment Planning / Management
7. Insurance Planning
8. Goals Based Planning with time segmentation

COMMUNICATION PREFERENCES

Do you prefer to communicate by (Circle all applicable choices):

1. In Person
2. Phone
3. Email
4. Virtual Conference

What is the best time to schedule appointments?

1. Mornings
2. Afternoons
3. Evenings
4. Weekdays
5. Weekends

EMPLOYMENT STATUS

1. Full Time
2. Part-Time
3. Corporate Employee
4. Self-employed
5. Unemployed
6. Student

SELF ANALYSIS

INSTRUCTIONS: Write down one or two words that describe your strengths, weaknesses, opportunities, and threats you currently see in your financial life

Strengths

Weaknesses

Opportunities

Threats

GOALS AND VALUES

INSTRUCTIONS: Take your time completing this qualitative section. Don't hold back...

If you were financially secure, what would you do with the money?

If you had 5 years left to live, what will you do with the time you have left?

If you had one day left to live, what would you wish you had done?

(P)RIORITIES, (O)RGANIZE, (P)URGE

Please list 5 financial activities that you take and rank them from (1) most important to (5) least important

Take the 5 activities listed above and categorize them as (N)needs or (W)ants

How much time would you save by eliminating the wants listed above?

Agreement: The preceding information reflects an accurate snapshot of my current qualitative and quantitative position.

Client Signature / Date: _____

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